

WHISTLE BLOWER POLICY & VIGIL MECHANISM

If the employee believes that they have violated the Code of Conduct, then they may report the violation to the company so that appropriate action can be taken. While taking disciplinary action, the company will take into consideration, that the employee has voluntarily reported their breach of code of conduct.

If the employee becomes aware that another employee has, in all likelihood, violated the code, including any law or regulation applicable to the company's business, then it is their duty to report that violation to the whistle blower committee, so that the company can take necessary steps to rectify the problem and prevent a recurrence. The identity of the person or persons making a report will remain confidential except on a "need to know" basis.

Whistle Blower Committee

S.No.	Name	Designation	Email id
1.	Anurag Agarwal	Member	anurag@aavishkaar.in
2.	Nikesh Kumar Sinha	Officer	nikesh.sinha@ashvfinance.com
3.	Atreya Rayaprolu	Member	atreya.rayaprolu@ashvfinance.com
4.	Prakhar Ranjan	Member	prakhar.ranjan@ashvfinance.com

A Vigil Mechanism provides a channel to the Employees and Directors to report to the management concerns about unethical behavior, actual or suspected fraud or violation of the Codes of Conduct or policy. The mechanism provides for adequate safeguards against victimization of both the whistle-blower and also the accused under a whistle-blower event and to avail of the mechanism and also provide for direct and discreet access to the Vigilance Officer and further escalation to Chairperson of the Audit Committee in exceptional cases.

This policy, however, neither releases employees from their duty of confidentiality in the course of their work nor can it be used as a route for raising malicious or unfounded allegations against people in authority and / or colleagues in general.